

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 8040-1059 U.S. Application No. 10/501762
INTERNATIONAL APPLN. NO. PCT/JP03/00362	INTERNATIONAL FILING DATE January 17, 2003	PRIORITY DATE CLAIMED January 17, 2002
TITLE OF INVENTION: METHOD OF MANUFACTURING SURFACE ACOUSTIC WAVE DEVICE AND SEMICONDUCTOR DEVICE		
APPLICANT(S) FOR DO/EO/US: Wataru HATTORI		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) or a Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input type="checkbox"/> Copy of IDS citations.</p> <p>12. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)).</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <u>Abstract, PCT/IPEA/409 (International Preliminary Examination Report)</u></p>		

U.S. APPLICATION NO. 10/501762		INTERNATIONAL APPLN. NO. PCT/JP03/00362	ATTORNEY DOCKET NO. 8040-1059
21. <input checked="" type="checkbox"/> The following fees are submitted:			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):			
Neither international preliminary examination fee nor international search fee paid to USPTO and international Search Report not prepared by the EPO or JPO \$1080.00			
International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00			
International preliminary examination fee not paid to USPTO but International search fee paid to USPTO \$770.00			
International preliminary examination fee paid to USPTO but all claims did not satisfy provision of PCT Article 33 (1)-(4)..... \$730.00			
International preliminary examination fee paid to USPTO and all claims satisfied provision of PCT Article 33 (1)-(4)..... \$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	8 - 20 =		x \$18.00
Independent Claims	1 - 3 =		x \$86.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$290.00	
TOTAL OF ABOVE CALCULATION =			
\$ 920.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.			
SUBTOTAL =			
\$ 920.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			
TOTAL NATIONAL FEE =			
\$ 920.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +			
TOTAL FEES ENCLOSED =			
\$ 960.00			
<input type="checkbox"/> Amount to be refunded: \$ <input type="checkbox"/> Charged: \$			
<p><input checked="" type="checkbox"/> A check in the amount of <u>\$960.00</u> to cover the above fees is attached.</p> <p><input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.</p>			
<p>SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23rd Street Arlington, VA 22202 Telephone (703) 521-2297</p> <p><i>Benoit Castel</i> SIGNATURE</p> <p>Benoit Castel, Reg. No. 35,041 NAME, REGISTRATION NUMBER</p> <p>Y&T Customer No. 00466 BC/yr</p> <p>July 19, 2004 DATE</p>			

SEND ALL CORRESPONDENCE TO:
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745 South 23rd Street
Arlington, VA 22202
Telephone (703) 521-2297

Y&T Customer No. **00466**
BC/yr

Benoit Castex
SIGNATURE

P. H. G. 14

Benoit Castel,

Benoit Castel, Reg. No. 35,041
NAME, REGISTRATION NUMBER

July 19, 2004

DATE